

## ***February 18, 2011***

### **Meeting of the Faculty Council & the General Faculty**

Friday, February 18, 2011

3:00 p.m.

Hitchcock Multipurpose Room

Sorja Haynes Stone Center for Black Culture and History

Chancellor Holden Thorp and

Professor McKay Coble, Chair of the Faculty, presiding

### **Agenda**

3:00 Chancellor's Remarks and Question Period

- Chancellor Holden Thorp

3:15 Provost's Remarks and Question Period

- Provost Bruce Carney

3:30 Annual Reports

### **Undergraduate Admissions Committee**

- Prof. Bobbi Owen, Chair
- Mr. Steve Farmer, Associate Provost and Director of Undergraduate Admissions

Scholarships, Awards, and Student Aid Committee

- Letter of Transmittal [pdf]
- Policy Questions regarding Scholarships and Student Financial Aid, Academic Year 2010-11 [pdf]
- Ten Year Comparison of Scholarship and Financial Aid Awards to All Students (Undergraduates, Graduate/Professional) from Academic Year 2000-01 to Academic Year 2009-10 [pdf]
- 2009-10 Scholarships and Financial Aid Awards Reports [Excel]
- 2009-10 Scholarships and Financial Aid Awards Charts [Excel]
- See the related PowerPoint presentation for Faculty Council here.
- Prof. Charles Daye, Chair
- Ms. Shirley Ort, Associate Provost and Director, Scholarships and Student Aid

### **Committee on University Government**

- First Reading: Resolution 2011-1: On Amending the Faculty Code of University Government to Provide

**Voting Representation for Retired Faculty.**

- First Reading: **Resolution 2011-2: On Amending the Faculty Code of University Government to Allow Fixed-Term Faculty to Vote in Personnel Matters Affecting Other Such Faculty.**

- Prof. Vin Steponaitis, Chair

4:45 Faculty Council Closed Session: Honorary Degree Nominations for 2012 Commencement

- Secretary of the Faculty Joe Ferrell

4:50 Other questions or discussion

5:00 Adjourn

**Minutes**

**JOURNAL OF PROCEEDINGS OF THE FACULTY COUNCIL**

February 18, 2011

The Faculty Council of the University of North Carolina at Chapel Hill convened February 18, 2011, at 3:00 p.m. in the Hitchcock Multipurpose Room of the Sonja Haynes Stone Center for Black Culture and History. The following 62 members attended:

Anderson, Bachenheimer, Bagnell, Betts, Blalock, Brice, Brown, Carlson, Chapman, Cohen, Copenhaver, Cornell, DeSaix, Earp, J., Ferrell, Friga, Gallippi, Gehrig, Gerber, Gerhardt, Gilland, Greene, Gullede, Guskiewicz, Hayslett, Heenan, Hess, Irons, Janken, Koomen, Kramer, Krome-Lukens, Leonard, Lopez, Lund, Maffly-Kipp, Mayer, McMillan, Mieczkowski, Milano, Milione, Morris-Natschke, Morse, New, O'Connell-Edwards, O'Shaughnessy, Palmer, Powers, Richardson, Schoenbach, Schoenfish, Steponaitis, Stewart, H. Thorp, J. Thorp, Toews, Van Tilburg, Verkerk, Wallace, and Webster-Cyriague. The following 24 members were granted excused absences: Thraikill, Bechtel, Bowdish, C. Brown, Catellier, Coble, Eaker-Rich, Fuchs Lokensgar, Lee, Linden, Lothspeich, Papanikolas, Paul, Persky, Renner, Rodgers, Shea, Starkey, Stearns, Stotts, Sunnarborg, Swogger, Tisdale, and Yankaskas. The following 7 members were absent without excuse: Balaban, Chen, Crowder, Dilworth-Anderson, Moracco, Shanahan, and Szypszak.

**Call to Order**

Secretary of the Faculty Joseph Ferrell called the Council to order at 3:00 p.m. Prof. Ferrell announced that Chair of the Faculty McKay Coble was unable to attend due to illness.

**Chancellor's Remarks and Question Period**

Chancellor Holden Thorp reported that the Board of Trustees has approved the appointment of Dr. Jane Weintraub as dean of the School of Dentistry. Dr. Weintraub is currently a dental health researcher at the University of California, San Francisco. Before accepting that position, she spent seven years on our faculty. Dr. Weintraub is especially well known for her work to reduce oral health disparities. She takes up her new duties on July 1.

The chancellor said that last week Carolina participated in the Atlantic Digital Town Hall, a live online event sponsored by The Atlantic magazine that focused on the economy and jobs. Two universities—Carolina and Miami of Ohio—were invited to send students and Chancellor Thorp also participated on a panel.

Chancellor Thorp said that earlier this week President Obama announced his new budget proposals and the news was good for higher education. Specifically, the president seeks budget increases for the National Institutes of Health and the National Science Foundation. Campuses everywhere are hopeful that his proposal for keeping the maximum Pell Grant at its current level will be successful.

The chancellor reported that Governor Beverly Perdue's budget proposals, on balance, treat the UNC System well. She proposes that the System absorb slightly more than 6 percent in additional permanent state budget reductions. That puts our campus in a fairly good position since we are already implementing a campus-wide reduction of 5 percent. The governor would fully fund new enrollment growth and need-based financial aid, and she calls for no additional tuition increases beyond the campus-based proposals recently approved by the Board of Governors.

Chancellor Thorp reported that at last week's Board of Governors meeting Carolina discontinued four degree programs and merged another as part of a biannual review that identifies programs with low productivity. The doctoral programs in Linguistics and Slavic Languages and Literature were discontinued, and the B.A. in Slavic Languages and Literature was merged with the program in Germanic Languages. The chancellor said that when programs serve only a handful of students, we must consider dropping them even though they may be distinguished. This was the case with the programs just mentioned.

The chancellor reported on some of the responses being made by academic units to accommodate budget reductions already announced:

- Social Work has decided not to accept two new classes of candidates for the degree of Master of Social Work in distance education programs serving western North Carolina in Hendersonville and Winston-Salem, a decision that affects 41 applicants.
- Nursing is reducing undergraduate enrollment by 25 percent in order to preserve the quality of the degrees is can offer, a decision that affects candidates for the degree of Bachelor of Science in Nursing. About 200 students have been completing this degree each year.

Prof. Steven Bachenheimer (Microbiology & Immunology) noted that Governor Perdue's budget is based on the assumption that the 1/2% sales tax will continue in place, and that the governor appears not to have recommended that the State recoup any of the Facilities & Administration (F&A) funds that accompany federal grants. He asked whether the F&A issue is off the table, or whether the General Assembly is likely to revisit it. Chancellor Thorp replied that the sales tax extension will have a difficult time in the General Assembly. As for F&A funds, he said that before 1997, the State retained most of those funds. In 1997, we were able to convince the General Assembly that we should be permitted to reinvest F&A funds in the research enterprise at Carolina. At that time, research funding was about \$300 million annually; now it is \$800 million. Keeping F&A funds on campus has been crucial to faculty recruitment, capital facilities, and the infrastructure needed to attract grant funding. He said that it is critically important that we retain this revenue stream. "This is the most important call of the session," he said.

### **Provost's Remarks and Question Period**

Provost Bruce Carney echoed Chancellor Thorp's remarks on the importance of F&A funding.

The provost said questions have been raised as to why the School of Nursing cannot increase class size rather than restrict student admissions. He said that the reason is that increasing class size to the extent required by budget reductions would threaten the school's accreditation status.

Provost Carney said that budget hearings with the deans and other unit heads will begin in March. Each unit head will be asked

to report how they will respond to budget cuts of differing percentages. He said that the University has gone almost as far as we can in terms of budget cuts in non-instructional units, such as human resources and finance, until the savings projected by moves in response to Carolina Counts are fully implemented. The instructional budget is now at serious risk. Provost Carney (that there are a few potential sources of new funding to offset budget cuts, tuition being one. He pointed that that undergraduate tuition remains in the bottom quartile of our peer institutions, as does tuition in each of the professional scho

Prof. Alexander Troster (Neurology) asked why Carolina appears to have been penalized for enrolling too many out-of-state students. Chancellor Thorp replied that this happened because the rules allowing scholarship athletes to be classified as in-state had changed after the first year class was admitted. He said that we did not intentionally or even knowingly go over the limit. However, some members of the Board of Governors insisted on assessing the \$158,000 penalty, so we said that if it was that important we would accept it. "It was unfair, but we did the right thing," he said.

Prof. Shiela Rodgers (Nursing) said that the accreditation issue leading to reduction in undergraduate admissions in Nursing has to do with maximum class size in clinical instruction. She said the school can increase class size in theoretical courses.

### **Annual Reports of Standing Committees**

***Undergraduate Admissions.*** Dean Bobbi Owen presented the Annual Report of the Advisory Committee on Undergraduate Admissions. She said that Carolina is being encouraged to join in a move toward a common application process with other major universities, and that is being studied. She acknowledged that the transition to Connect Carolina has been "tough," but that good progress is being made. On the whole, the students we admit are getting better and better, she said.

Prof. Victor Schoenbach (Epidemiology) asked for comment on why the number of African-American students being admitted has to be in decline. Mr. Steve Framer, Director of Undergraduate Admissions, said that some of the decline stems from change in reporting standards, but that he feels that our results have been very good overall. We said that Carolina aggressively recruits students in under-represented categories.

***Scholarships, Awards, and Student Aid.*** Prof. Charles Daye, chair of the Committee on Scholarships, Awards, and Student Aid, presented the committee's Annual Report.

Prof. Suzanne Gullledge (Education) asked for comment on the work-study program. Ms. Shirley Ort, Director of Scholarships, Awards, and Student Aid, replied that work-study is a small but important part of our program. Working on campus helps many students to stay enrolled. She added that we have no information on how many students work at part-time jobs in other settings.

Prof. Bachenheimer asked for comment on the connection, if any, between tuition increases and increases in student debt load and the need for financial aid. Prof. Daye replied that a portion of each tuition increase is funneled to student aid in an effort to "hold harmless" those who need assistance.

Prof. Paul Friga (Business) suggested that the work-study program should be expanded.

### **Special Report of the Committee on University Government**

Prof. Vin Steponaitis, chair of the Committee on University Government, reported that the committee recommends adoption by the General Faculty of two amendments to the Faculty Code of University Government. The first amendment would create a voting division for the Faculty Council composed of retired members of the Voting Faculty. The division would elect two voting members of the Council.

Prof. Steponaitis said that this proposal had arisen in 2008-09 in the context of discussion of many issues that affect retired faculty, such as access to parking, library privileges, gym cards, and the like. One of the concerns was whether, and how, to afford some kind of official recognition to the Retired Faculty Association (RFA). That concern was successfully addressed by administrative support for the RFA facilitated by the Office of Faculty Governance. The discussions led the Committee on University to start thinking about a possible role for retired faculty in faculty governance. He said that the committee learned that retired faculty are eligible for election to the Faculty Senate at North Carolina State University, and that the RFA has been sending observers this year to attend Faculty Council meetings. Prof. Steponaitis said that the committee developed a tentative proposal to create a new electoral division for retired faculty with two elected representatives. This idea was discussed with the Faculty Executive Committee who unanimously encouraged the Committee on University Government to move forward with the proposal.

Prof. Steponaitis explained that the proposal creates a new Faculty Council electoral division comprising all emeritus faculty who retired in the service of this University and were members of the Voting Faculty immediately before retirement. Eligible retirees wishing to participate will be required to register with the Office of Faculty Governance in accordance with procedures established by the secretary of the faculty. He said that the proposal does not confer voting privileges for any other faculty positions, nor voting privileges in school and departmental faculty meetings. He concluded by saying that the committee sees the University as a community of scholars both active and retired. This proposal is a way of recognizing that.

Prof. Tim McMillan (African-American Studies) asked how the committee arrived at two members. Prof. Steponaitis said that currently the RFA is sending two observers. The committee thought one was not enough, and two sounded about right.

Prof. Emeritus Andy Dobelstein (Social Work) thanked Prof. Steponaitis for bringing this proposal forward. He said that the RFA is pleased.

Prof. Tom Linden (Journalism & Mass Communication) asked how candidates for the new division would be chosen. Prof. Steponaitis replied that the Faculty Nominating Committee would oversee the nomination process as it does for all other divisions.

Prof. Lloyd Kramer (History) asked whether the proposal contains any provision for distributing candidates among the various schools and departments. Prof. Steponaitis replied that it does not; all retirees are in one electoral division.

There being no further questions, Resolution 2011-1 On Amending the Faculty Code of University Government to Provide Voting Representation for Retired Faculty was adopted on first reading without dissent. The resolution remains on the calendar for consideration on second reading at a subsequent meeting of the General Faculty. The text of the resolution as adopted on first reading is posted on the Faculty Governance website.

Prof. Steponaitis next explained Resolution 2011-2. This amendment corrects an inadvertent oversight in the Code that bars fixed-term faculty from voting on appointments and promotions to fixed-term ranks. He said that the committee had found that the policy and practice all across campus is to include fixed-term faculty among faculty who vote on issues that affect fixed-term faculty.

There being no questions or discussion, Resolution 2011-2 On Amending the Faculty Code of University Government to Allow Fixed-Term Faculty to Vote in Personnel Matters Affecting Other Such Faculty was adopted on first reading without dissent. The resolution remains on the calendar for consideration on second reading at a subsequent meeting of the General Faculty. The text of the resolution as adopted on first reading is posted on the Faculty Governance website.

February 18, 2011 – UNC Office of Faculty Governance

## **Honorary Degree Nominations for Commencement 2012**

On motion of the Secretary of the Faculty, the Council went into closed session to consider nominees for honorary degrees to be added at Commencement 2012.

Prof. Ferrell, acting on behalf of the Committee on Honorary Degrees and Special Awards, presented five nominees for honorary degrees. Each nominee was approved without dissent and will be presented to the Board of Trustees for final approval.

The Council returned to open session.

## **Adjournment**

Its business having been completed, the Council adjourned.

Respectfully submitted

Joseph S. Ferrell  
Secretary of the Faculty



THE UNIVERSITY  
OF NORTH CAROLINA  
at CHAPEL HILL

OFFICE OF UNDERGRADUATE ADMISSIONS  
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CAMPUX BOX 2200  
CHAPEL HILL, NC 27599-1200  
1 919.966.3021  
4 919.962.3045  
www.admissions.unc.edu

## **2009-2010 Annual Report Advisory Committee on Undergraduate Admissions February 18, 2011**

**Members:** Bobbi Owen, Chair (Senior Associate Dean for Undergraduate Education, College of Arts and Sciences); Evelyn Daniel (School of Information and Library Science); Reginald Hildebrand (Division of Social Sciences, College of Arts and Sciences); Tim Marr (Division of Humanities and Fine Arts, College of Arts and Sciences); William McDiarmid (School of Education); M. Layna Mosley (Division of Social Sciences, College of Arts and Sciences); Mitch Prinstein (Division of Natural Sciences, College of Arts and Sciences); David Ravenscraft (Kenan-Flagler Business School); J. Steven Reznick (Natural Sciences Division, College of Arts and Sciences); Jennifer L. Smith (Division of Humanities and Fine Arts, College of Arts and Sciences).

**Ex officio members:** Carolyn Cannon (Associate Dean, Academic Advising, College of Arts and Sciences); Harold Woodard (Associate Dean, Center for Student Success and Academic Counseling, College of Arts and Sciences); Melissa Exum (Dean of Students, Division of Student Affairs); Stephen Farmer (Associate Provost and Director, Undergraduate Admissions); Roberta Kelly (Interim University Registrar); Dan Thornton (Associate Director, Scholarships and Student Aid); Lynn Willford (Assistant Provost and Director, Institutional Research and Assessment).

**Ad hoc members:** John Evans (Kenan-Flagler Business School); Napoleon Byars (School of Journalism and Mass Communication); James Kessler (Disability Services); Theresa Maitland (Academic Success Program, Learning Center).

**Members leaving committee during past year:** Peter Codanis, (Division of Social Sciences, College of Arts and Sciences); Jose-Marie Griffiths (School of Information and Library Science); Lillie Searles (Natural Sciences Division, College of Arts and Sciences).

**Meetings during past year:** September 8, 2009; November 10, 2009; January 12, 2010; March 2, 2010; April 20, 2010.

**Report prepared by:** Bobbi Owen (Senior Associate Dean for Undergraduate Education, College of Arts and Sciences); Stephen Farmer (Associate Provost and Director, Undergraduate Admissions).

### **Report of activities (see summary and additional statistics, attached):**

1. Approved the elimination of the paper application for undergraduate admission.
2. Approved a charge and a set of operating procedures for the Subcommittee on Athletics Admissions (see Attachment A).

3. Discussed new guidelines developed by the Graduate School regarding the development of dual bachelor-master programs.
4. Met with members of the Academic Plan Steering Committee to discuss priorities for the new academic plan.
5. Discussed the impact of ConnectCarolina on prospective students and on the efficiency and effectiveness of the Office of Undergraduate Admissions.
6. Directed the Office of Undergraduate Admissions to develop a proposal to increase the undergraduate enrollment deposit, and to present this proposal for the committee's consideration during 2010-2011.
7. Advised the Office of Undergraduate Admissions on how to improve the experience of prospective students when they register for campus tours and visit campus.
8. Encouraged the Office of Undergraduate Admissions to seek membership in the Common Application.
9. Received the profile of the class entering in Fall 2009 semester; results from the Fall 2009 admitted-student survey; a preliminary report on Fall 2010 applications and admissions; the final report of the Enrollment Excellence Task Force; and updates on programs previously approved, including assured admission for sophomore transfer students and assured enrollment in the Kenan-Flagler Business School and the School of Journalism and Mass Communication.

#### **Addendum—report of 2010-2011 activities to date**

1. Offered advice about the identification of candidates for merit-based scholarships.
2. Received and approved a proposal to increase the undergraduate enrollment deposit from \$100 to \$250, effective for candidates enrolling in Fall 2011 semester.
3. Discussed in detail how the admissions committee evaluated the credentials of a sample candidate for first-year admission.
4. Discussed whether the University should develop a pilot program to enroll a small class of entering students during the Spring semester.
5. Received the profile of the class entering in Fall 2010 semester; an update regarding the work of the Enrollment Excellence Implementation Committee; and reports from the Carolina College Advising Corps and the C-STEP program.



## **Attachment A—Subcommittee on Athletics Admissions**

### **Charge**

Operating under the authority of the Faculty Advisory Committee on Undergraduate Admissions, the Subcommittee on Athletics Admissions is charged with advising the Office of Undergraduate Admissions on the admission of prospective student-athletes.

Specifically, the Faculty Advisory Committee charges the Subcommittee with:

- Recommending to the Faculty Advisory Committee policies regarding athletics admissions that are consistent with the mission of the University and with policies established by the UNC-Chapel Hill Board of Trustees and the UNC-system Board of Governors.
- Establishing admissions procedures for prospective student-athletes that maintain the academic integrity of the University; respect the competitiveness of admission to Carolina; recognize the contributions that athletically talented students can make to the education and the experience of everyone within the campus community; and encourage the eventual success, as students and citizens, of those candidates who are admitted and enroll.
- Reviewing the credentials and circumstances of prospective student-athletes who (a) fall below the threshold established by the Subcommittee for “committee cases,” (b) involve issues that might go against community standards for academic or personal behavior, or (c) fail to meet the minimum course or admissions requirements of the UNC system.
- Advising the Office of Undergraduate Admissions on the capacity of the students described above to succeed academically and personally at the University, both individually and as a class.
- Reviewing and understanding the success or failure of past decisions made by the Subcommittee on Athletic Admissions.
- Reporting activities, decisions, and outcomes to the Faculty Advisory Committee at least once per academic year.

### **Procedures**

1. The chair is responsible for moderating committee meetings and for ensuring that all members have ample opportunity to voice their opinions and their questions.
2. Recommendations regarding the admission of prospective student-athletes require a vote of the committee by show of hands. At the request of any committee member, voting will be conducted by secret ballot. With the exception of the Associate Dean for Academic Advising, only faculty members may vote.
3. For cases that require a decision before the full committee can meet, the committee authorizes the chair, in consultation with the Office of Undergraduate Admissions and one or more committee members, to approve the case or convey the information to the full subcommittee by secure electronic transmission for a full committee vote. Decisions authorized by the chair will be reported at the next regularly scheduled committee meeting.

4. Before each meeting, all members of the committee, including non-voting members, will receive and review the credentials of the prospective student-athletes who are on the agenda.

5. At the beginning of each meeting, the committee will discuss the cases individually, as a group, and in light of cases previously reviewed. As part of this discussion, representatives of the Office of Undergraduate Admissions will present background information about each candidate's curriculum, academic performance, test scores, and high school, as well as any other information they consider necessary for a full and fair consideration of the candidate.

6. Representatives from the athletic teams will then present each candidate, focusing on the student-athlete's academic history and potential, character and personal circumstances, expected contribution to the team, and any other information that they believe will help the committee see the candidate in the appropriate context. The athletic representatives will also respond to any questions posed by the committee. These questions will normally range widely and may include, for example, requests for information in the following areas:

- The student-athlete's academic history, character, and work ethic;
- The performance of the team's previous committee cases, both as students and as citizens at the University, and the ways in which the student-athlete is similar to or different from these previous cases;
- The extent to which the team is prepared to support the student-athlete academically and personally, given the likely needs of the individual candidate and the team's entering class as a whole; and
- The approach that the coaching staff takes in setting academic standards, monitoring performance, and intervening to assure acceptable outcomes.

While team representatives are present, committee members will direct all questions and discussion to them and not to other members of the committee.

7. Following these presentations, the committee will discuss each candidate and vote to recommend one of the following actions to the Office of Undergraduate Admissions:

- Offer admission to the student-athlete, provided he or she establishes and maintains ACC and NCAA eligibility and meets any additional conditions that may be specified by the committee;
- Deny admission to the student-athlete;
- Defer action until more information can be gathered.

Ordinarily, a vote to defer action should specify what information the committee will require in order to decide whether to admit or deny the student-athlete, as well as when the committee will reconsider the candidate's credentials.

8. Following the vote, the Office of Undergraduate Admissions will consider the committee's recommendation in making its decision regarding the candidate. The office will communicate both its decision and the committee's recommendation to the athletic department.

9. At least once per year, the committee will review the progress of past committee cases, the screening used to decide what cases come before the committee, and the approaches used by each athletic program to ensure the success of future committee cases.

# UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL

## First-Year and Transfer Class Data, 2006-2010

### I. Application Data

	APPLIED					ADMITTED					ENROLLED				
	2006	2007	2008	2009	2010	2006	2007	2008	2009	2010	2006	2007	2008	2009	2010
ALL FIRST-YEAR															
Total	19,736	20,064	21,507	23,047	23,271	6,734	6,993	7,309	7,342	7,559	3,816	3,895	3,864	3,960	3,960
% Change	5.51	1.66	7.19	7.16	0.97	0.00	3.85	4.52	0.45	2.96	1.73	2.07	-0.80	2.48	0.00
FIRST-YEAR BY CATEGORY															
In-State	8,838	9,155	9,287	9,537	9,469	4,559	4,551	4,586	4,496	4,735	3,110	3,132	3,097	3,117	3,153
Out-of-State	10,898	10,909	12,220	13,510	13,802	2,175	2,442	2,723	2,846	2,824	706	763	767	843	807
African-American	2,471	2,301	2,556	2,693	2,831	823	804	836	855	812	470	434	417	448	410
Asian-American	1,970	2,239	2,584	2,958	3,442	617	709	807	856	944	287	302	335	352	426
Native-American	98	105	108	135	207	50	53	58	64	88	32	35	31	45	46
Hispanic	833	860	1,111	1,191	1,465	400	446	504	532	614	205	221	218	234	270
ALL TRANSFER															
Total	3,019	3,106	3,215	3,719	3,172	1,244	1,178	1,253	1,274	1,286	894	874	892	872	871
% Change	-2.64	2.88	3.51	15.68	-14.71	0.00	-5.31	6.37	1.68	0.94	7.97	-2.23	2.06	-2.24	-0.11

### II. First-Year Class: Secondary-School Background

	2006	2007	2008	2009	2010
In-State Public	2,615	2,569	2,614	2,643	NA
Out-of-State Public	449	506	488	540	NA
Private/Parochial	587	592	640	603	NA
Foreign/DOD	39	47	44	75	NA
Other	126	181	78	99	NA

Data not yet available because of transition to ConnectCarolina.

### III. First-Year Class: Sex

	2006	2007	2008	2009	2010
Men	1,526	1,546	1,588	1,601	1,582
Women	2,290	2,349	2,276	2,359	2,378

### IV. First-Year Yield (Percentage of Those Admitted Who Enrolled)

	2006	2007	2008	2009	2010
All First-Year Students	57	56	53	54	52
In-State	68	69	68	69	67
Out-of-State	33	31	28	30	29
Out-of-State Alumni	47	50	48	44	42

## V. First-Year Class: Secondary-School Class Rank

	2006		2007		2008		2009		2010	
Top Tenth	2,284	76%	2,361	77%	2,331	79%	2,391	80%	2,300	78%
Second Tenth	510	17%	484	16%	430	15%	407	14%	473	16%

## VI. First-Year Class: SAT Reasoning Average (Critical Reading/Verbal + Math)

	2006	2007	2008	2009	2010
All Freshmen	1293	1302	1301	1303	1304

## VII. First-Year Class: Residency

	2006	%	2007	%	2008	%	2009	%	2010	%
NC Residents	3,110	81.50	3,132	80.40	3,097	80.15	3,117	78.71	3,153	79.62
Non-Resident Alumni	92	2.41	106	2.72	112	2.90	92	2.32	92	2.32
Other Non-Residents	614	16.09	658	16.89	655	16.95	751	18.96	715	18.06
Total Alumni Children	697	18.27	732	18.80	740	19.15	692	17.47	694	17.53

Residency data do not reflect impact of the legislative scholarship provision.

## VIII. First-Year Admitted Students by Selected Categories

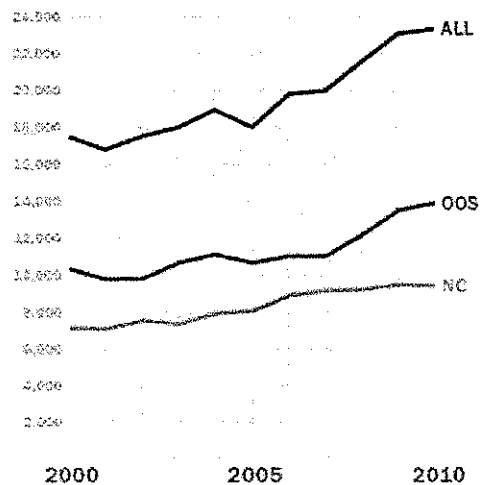
Data reflect all admitted students; data for enrolled students differ.

	NUMBER	SAT	AI	RANK	SIZE	GPA	PROG	PERF	ACTI
All	7,559	1344	NA	23	362	4.566	7.2	7.9	6.0
Disability*	1	1180	NA	26	102	2.993	4.0	5.0	5.0
Discretionary	46	1222	NA	61	307	3.664	4.3	5.0	5.6
Music or Drama	43	1263	NA	83	381	3.918	5.1	5.2	6.1
Athletics	147	1076	NA	116	351	3.525	3.2	4.3	5.6

Academic program, academic performance, and school and community activities rated from 1 (lowest) to 10 (highest).

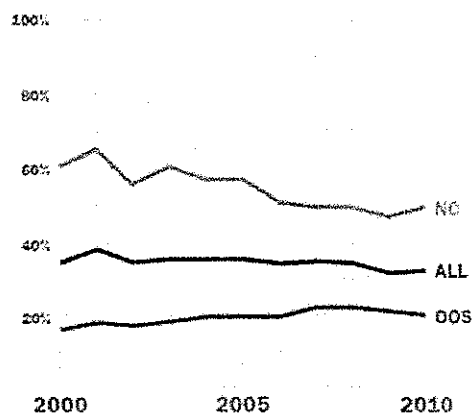
\* Disability: Includes students who disclosed a disability, were not recommended for admission under competitive review, but were subsequently offered admission on the recommendation of the Faculty Subcommittee on Disabilities.

# Ten-Year Trends



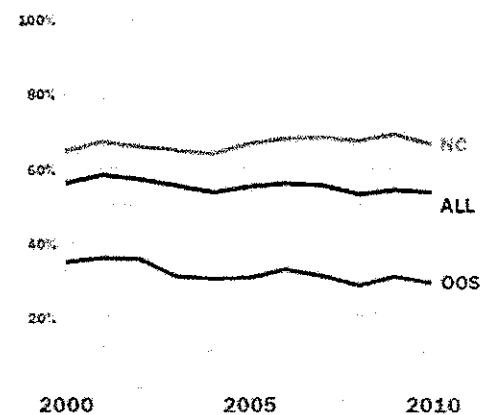
## APPLICATIONS

More and better applications across the board



## ADMIT RATE

Lower for NC, flat for OOS



## YIELD

Higher for NC, lower for OOS



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## Entering Class: Academics

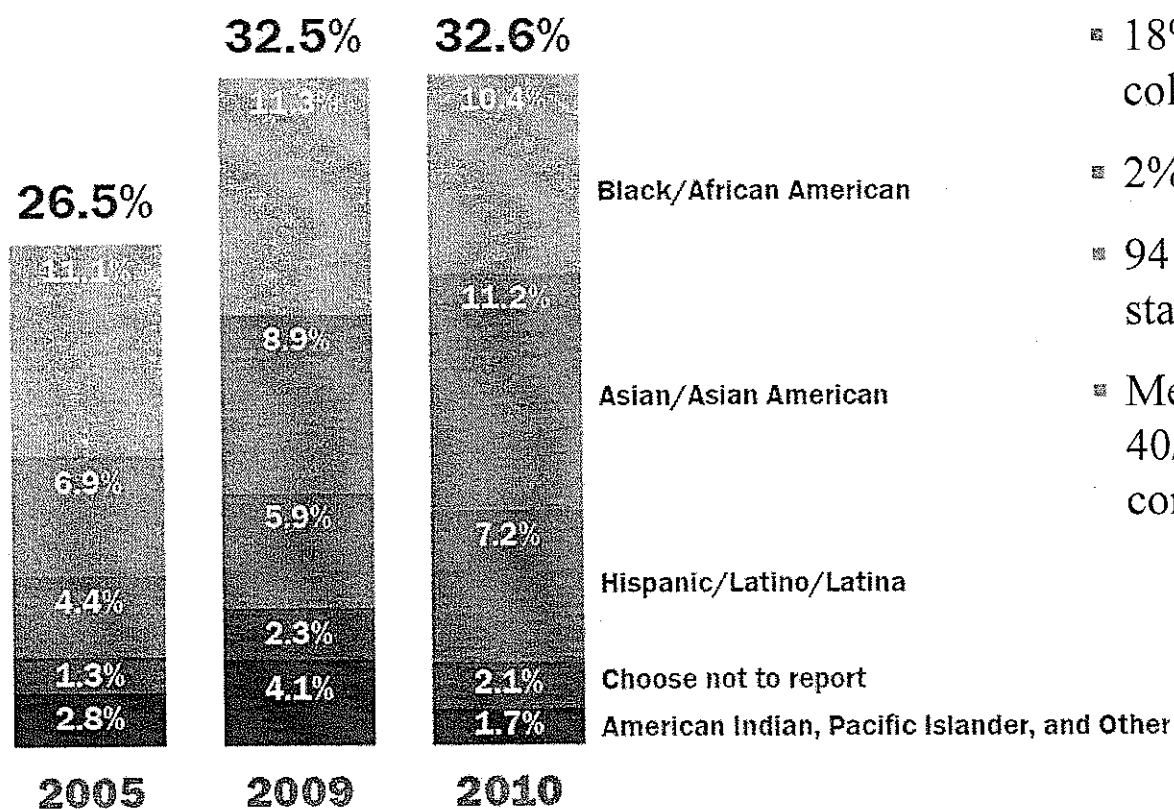
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	2005	2009	2010
Top 10 percent	73.5%	79.7%	78.2%
Top 10 students	39.5%	43.8%	42.0%
Valedictorian/salutatorian	10.6%	13.6%	11.8%
SAT—average	1299	1303	1304
—middle 50%	1220–1390	1210–1410	1200–1410
—1400+	854	955	947



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# Entering Class: Demographics



- 18% first-generation college
- 2% international
- 94 NC counties, 41 states, 24 countries
- Men/women still 40/60—for the 30<sup>th</sup> consecutive year



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at CHAPEL HILL



## Entering Class: Engagement

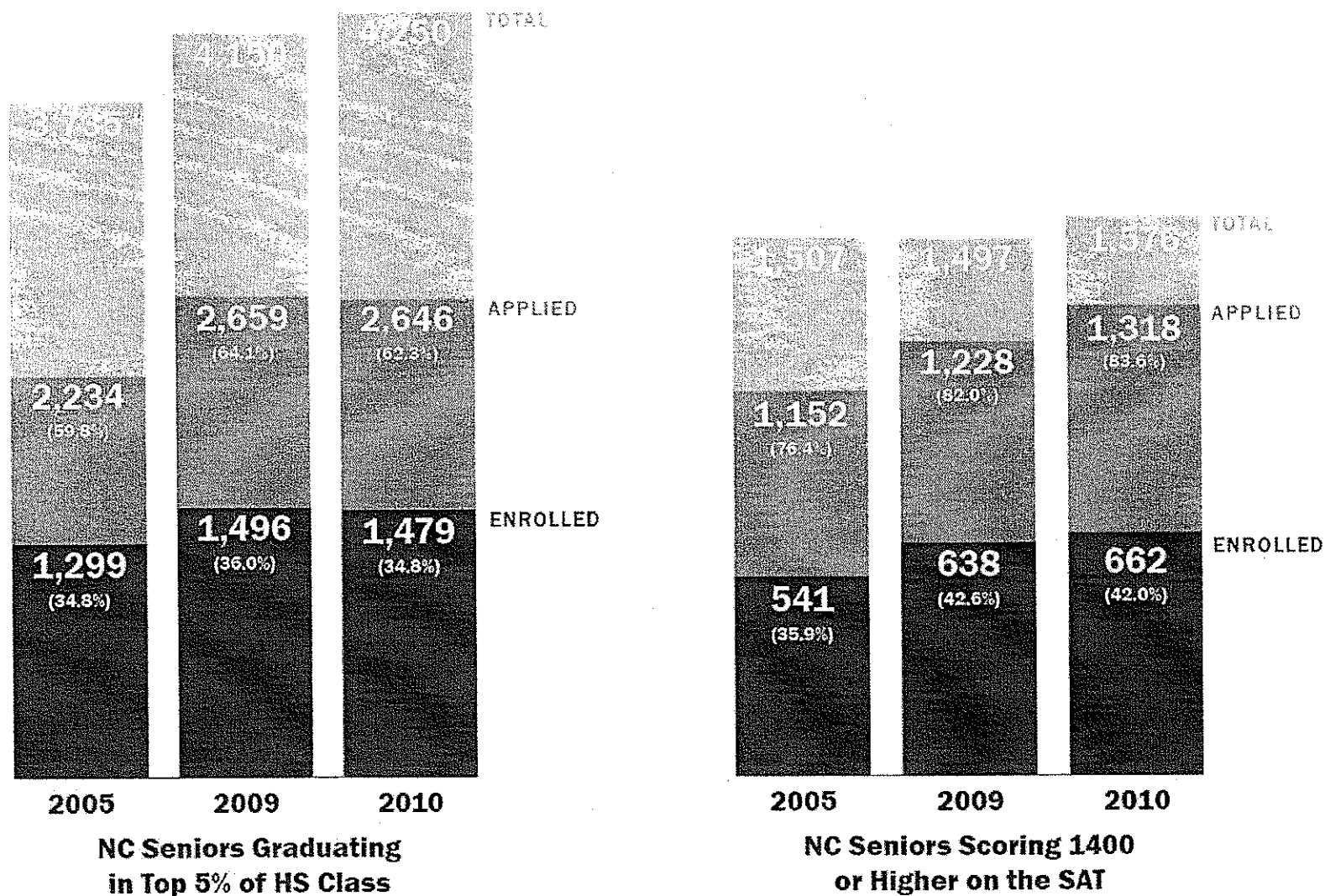
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- 94 percent served the community
- 74 percent played a sport
- 67 percent founded an organization, captained a sport, or served as class, club, or student-body president
- 59 percent participated in the arts
- 55 percent traveled outside their home country
- 27 percent conducted original research



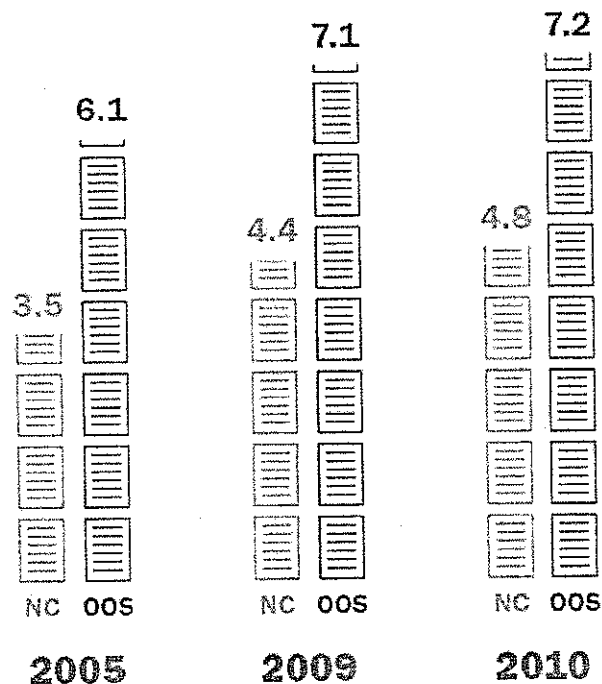
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at CHAPEL HILL

# Market Share of Top North Carolinians

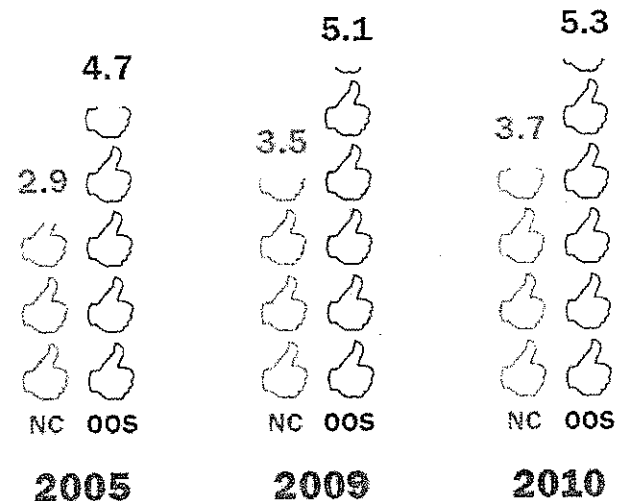


# Competition: Many Choices

## HOW MANY SCHOOLS ARE OUR STUDENTS APPLYING TO?



## HOW MANY OFFERS OF ADMISSION ARE THEY RECEIVING?



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## Competition: Top 30 Universities

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Students cross-admitted with universities ranked in top 30 by  
*U.S. News & World Report*

Number of students admitted	3,556
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% of all admits	47%
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% to UNC	36%
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Change in yield since last year	-3 points
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Number of students lost	92
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Impact on overall yield	-1 point
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## Competition: Flagship Public Universities

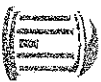
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Residents of FL, GA, MD, SC, TX cross-admitted with flagship public universities in their states

Number of students admitted	731
% of all OOS admits	26%
% to UNC	29%
Change in yield since last year	-4 points
Number of students lost	29
Impact on overall OOS yield	-1 point



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at CHAPEL HILL

OFFICE of SCHOLARSHIPS  
and STUDENT AID  
111 PETTIGREW HALL  
CAMPUS BOX 2300  
PO BOX 1080  
CHAPEL HILL, NC 27514-1080

M-F 8:00 to 5:00  
T 919-962.8396  
F 919-962.2716  
aidinfo@unc.edu  
studentaid.unc.edu

February 1, 2011

Professor Joe Ferrell  
Secretary of the Faculty  
Office of Faculty Governance  
204 Carr Building  
CB# 9170  
CAMPUS

Dear Professor Ferrell:

On behalf of the Committee on Scholarships, Awards and Student Aid, an appointive committee of the Chancellor, I am submitting our 2009-10 annual report which has been prepared by the Office of Scholarships and Student Aid in conjunction with the Office of Institutional Research. The report summarizes all aid disbursed by the Office of Scholarships and Student Aid to enrolled undergraduate, graduate, and professional students from July 1, 2009 to June 30, 2010. The report is accompanied by various charts which illustrate distribution patterns.

In addition, I am including a copy of the major policy questions anchoring the work of the Office of Scholarships and Student Aid and the Committee this year. These questions, and related research, contribute significantly to campus planning and policy discussions.

I have also provided the same information electronically to Ms. Anne Mitchell Whisnant and to Professor McKay Coble, Faculty Chair. Ms. Shirley Ort, Associate Provost and Director of Scholarships and Student Aid, and I look forward to the Friday, February 18, 2011 Faculty Council meeting where I will present the report and entertain questions.

Sincerely,

Charles E. Daye, Chair  
Committee on Scholarships, Awards, and Student Aid

CD:SAO:sao

## POLICY QUESTIONS REGARDING SCHOLARSHIPS AND STUDENT FINANCIAL AID

University of North Carolina at Chapel Hill  
Academic Year 2010 - 11

Need-based student financial aid provides access for students who could not otherwise afford to attend the University. Merit-based aid recognizes and rewards student talent and promise, without regard to need. Both are important sources for the recruitment and retention of undergraduate and graduate/professional students and for the achievement of the University's mission. Access and excellence are mutually reinforcing goals.

These are the policy questions that we believe to be most relevant to campus discussions this year:

1. How do we continue to serve a growing population of students who have need, especially if tuition increases?
2. How do we strike the right balance between need-based and merit-based aid? Between aid for undergraduate and aid for graduate/professional students?
3. How do we increase the number of merit scholarships or initiatives that Carolina offers (and protect the purchasing power of those scholarships)?
4. How can we better manage the expectations of an increasingly vocal middle class who seek scholarship assistance?
5. What happens if the out-of-state scholarship provision for merit scholarship recipients is repealed by the General Assembly (as the athletic scholarship provision was in 2010)?
6. Will the federal government be able to protect and strengthen funding for Pell Grants going forward, given federal budgetary pressures?
7. Can we maintain UNC-Chapel Hill's current share of state-funded need based grant aid as the General Assembly considers the "simplification," consolidation, and redesign of state-funded student aid programs?
8. How can we maximize the use of student aid to further the University's retention goals?
9. What new grants or initiatives can we pursue in an effort to generate new scholarship sources (e.g., Covenant and HHMI, NSF, entrepreneurship, etc.)? ; and
10. What resources or efforts will we need to put into place in order to better compete for international students as Carolina endeavors to strengthen its global presence?

**Ten Year Comparison of Scholarship and Financial Aid (Need and Non-Need-Based) Awards to  
All Students (Undergraduates, Graduate/Professional), in Millions  
From Academic Year 2000-2001 to Academic Year 2009-2010**

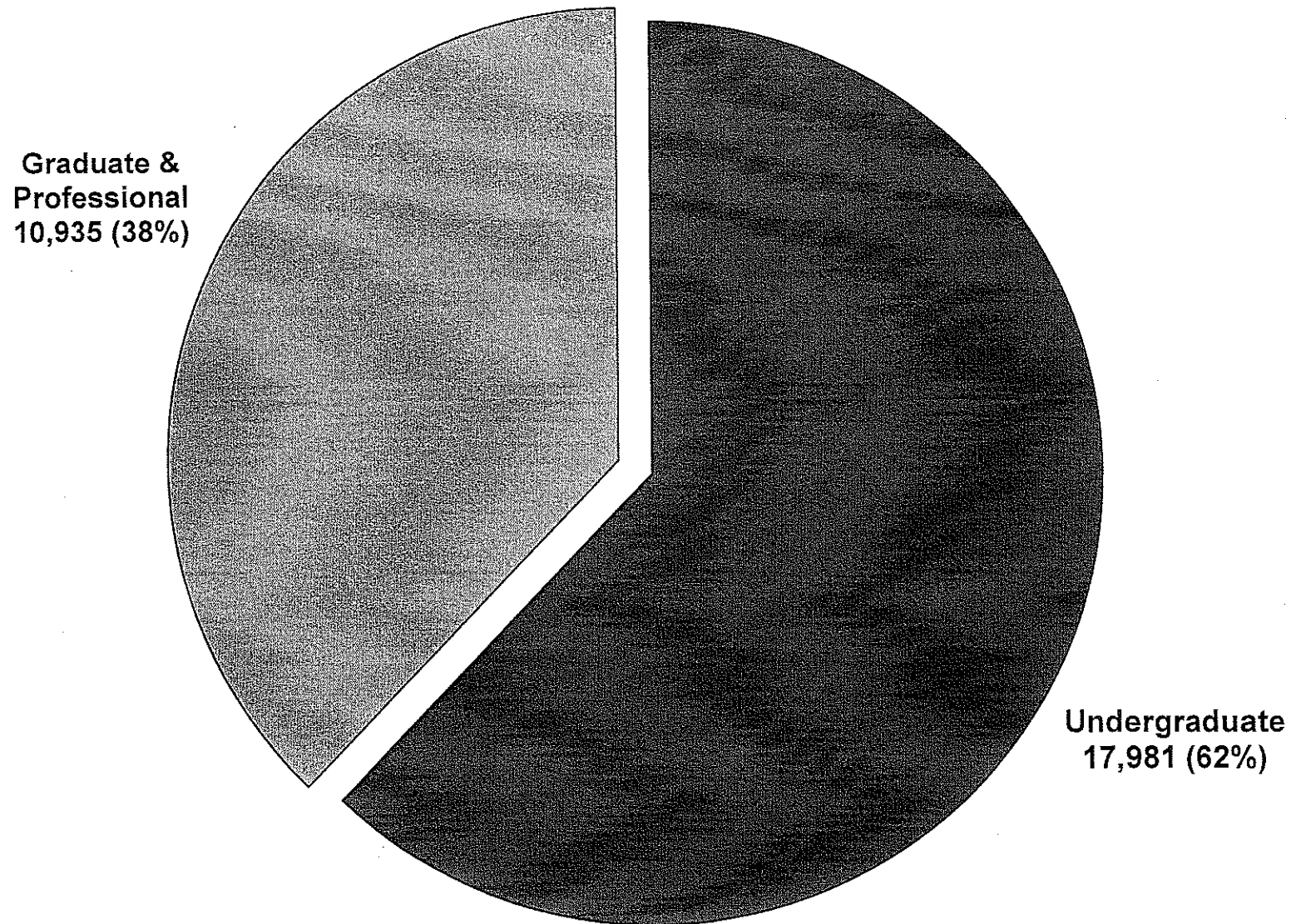
	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>	<u>2008-09</u>	<u>2009-10</u>
<b><u>Total Aid Awarded</u></b>	\$128.1	\$134.9	\$163.7	\$188.9	\$200.9	\$210.1	\$218.9	\$225.2	\$233.2	\$257.8
Number of Students	11,501	12,687	13,552	14,396	14,872	15,120	15,327	15,517	15,871	16,903
Average Award	\$11,136	\$10,633	\$12,081	\$13,117	\$13,508	\$13,942	\$14,287	\$14,513	\$14,693	\$15,252
<b><u>Funds by Source</u></b>										
Federal	57%	55%	56%	57%	55%	55%	53%	53%	56%	53%
State	10	9	9	9	8	8	9	10	12	10
Institutional/Private	33	36	35	34	37	37	38	37	32	37
<b><u>Funds by Type</u></b>										
Scholarships/Grants	38%	43%	44%	42%	42%	42%	43%	45%	47%	47%
Work-Study	1	3	3	1	1	1	1	2	1	1
Loans	61	54	53	57	57	57	56	53	52	52

Source: Office of Scholarships and Student Aid/Office of Institutional Research (January 2011).



# Total UNC-CH Enrollment 2009-2010

## 28,916 Students



Annual Report of the  
**COMMITTEE ON UNIVERSITY GOVERNMENT**  
For Presentation to the Faculty Council on  
February 18, 2011

**Current Members:**

Connie Eble, English (2013)  
Joseph S. Ferrell, Secretary of the Faculty (ex officio)  
Elizabeth Gibson, School of Law (2012)  
Clayton Koelb, Germanic Languages (2012)  
Michael Lienesch, Political Science (2011)  
Melissa Saunders, School of Law (2012)  
Sally Stearns, Health Policy and Management (2011)  
Vincas Steponaitis, Anthropology (2013), chair

**Annual Report prepared by:** Vincas Steponaitis, chair. This report covers the period from January through December 2010.

**Committee Charge.** The Faculty Code of University Government reads as follows:

*“§ 4-19. Faculty Committee on University Government.*

*“(a) The Faculty Committee on University Government consists of seven members appointed by the chancellor. The secretary of the faculty serves as an ex officio member.*

*“(b) The committee is concerned with the continuing development, adaptation, and interpretation of The Faculty Code of University Government. Subject to the powers of the University's Board of Governors and president, and of the Board of Trustees and the chancellor of the University of North Carolina at Chapel Hill, the Code represents legislation enacted by the faculty regarding forms of internal organization and procedures at this institution which are deemed necessary for its fair and effective operation.*

*“(c) The committee periodically reviews the existing Code and solicits suggestions for its improvement. Based on its review, the committee recommends appropriate amendments in the Code for consideration and vote of the General Faculty. As provided under Article I of the Code, the committee considers and reports on other proposals to amend the Code and also periodically makes appropriate adjustments of the elective representatives in the Faculty Council. The committee considers and reports on special questions of University governance which are referred to it by the chancellor or members of the faculty. The committee is especially concerned with maintaining internal forms and procedures of academic administration which reflect principles of democracy and equity, vision and adaptability, and quality and responsibility, toward achieving the intellectual aims of the University.”*

## Report of Activities.

*Resolutions Presented and Adopted.* On February 26, 2010, the committee presented to Faculty Council a resolution entitled, "On Amending the Faculty Code of University Government to Change the Composition of the Administrative Board of the Library" (Resolution 2010-1). The resolution passed in its first reading, and in the second reading was amended to provide a roadmap for how the transition to the Administrative Board's new structure would be handled. The amended version was adopted by Faculty Council without dissent on March 19, 2010.

*Ongoing.* Over the past year the Committee continued the discussions that had begun in 2009 regarding proposals to give retired UNC faculty a greater involvement in faculty governance. These discussions culminated in Resolution 2011-1, which is further described in Appendix 1.

The committee also took note of concerns that the Faculty Code is inconsistent with current policies and practices across the campus regarding the participation of fixed-term faculty in votes on personnel matters. This inconsistency was an inadvertent byproduct of the broad amendments to the Code that were passed by Faculty Council in 2007. After examining the matter in detail, the committee decided to recommend a change to the Code to bring it into line with current practice. This amendment is presented in Resolution 2011-2, and further discussed in Appendix 2.

## New Business.

*Resolutions Presented.* The committee presents today the following resolutions:

Resolution 2011-1. On Amending the Faculty Code of University Government to Provide Voting Representation for Retired Faculty.

Resolution 2011-2. On Amending the Faculty Code of University Government to Allow Fixed-Term Faculty to Vote in Personnel Matters Affecting Other Such Faculty.

The rationale for each of these resolutions is presented in the appendices that follow.

Respectfully submitted,  
Committee on University Government

## **Appendix 1**

### **Report of the Committee on University Government on Resolution 2011-1.**

During the 2008-09 academic year, a number of issues arose on campus that prompted discussions of the various ways in which retired faculty contribute to the life of our university. Around that time, the Retired Faculty Association was invited to send representatives to Faculty Council, essentially as observers. In light of these developments, in the fall of 2009 our committee began discussing the role that our retired colleagues might have in faculty governance. We were aware that the faculty code at our sister institution, N.C. State University, includes retired faculty as members of their voting faculty, and that retired faculty regularly serve in their Faculty Senate (the counterpart to our Faculty Council). Hence, we focused on the question of whether our retired faculty should be given the opportunity to do the same.

The committee saw two major benefits of answering this question in the affirmative:

- \* Adding retired faculty as full-fledged members of Faculty Council would contribute significantly to preserving institutional memory, which is very important in faculty governance.
- \* If we view the university as a community of scholars, we must recognize that our retired colleagues are important members of this community. Giving such colleagues representation in Faculty Council acknowledges this fact without in any way compromising the ability of the currently employed faculty to set the university's course.

Two potential disadvantages also came up in our discussions. One was the added time and effort that would be required to include retired faculty in the elections process (i.e., it's not as easy to keep track of retired faculty as those currently employed at the university). We felt that this would not be a major obstacle, especially if we required that retired faculty register to vote. Another potential problem was violating the general principle that an institution's course should be set by its current employees, not by those who have retired. The committee acknowledged the importance of the latter principle, but felt that giving retired faculty two votes in a legislative body of about 70 members would not cause any serious difficulties in this regard.

By the end of 2009, we were moving in the direction of proposing that retired faculty be given voting representation on Faculty Council, with two members elected by a new electoral division consisting of retired UNC faculty who choose to participate by registering to vote. But before we moved any further down this road, we decided to take the matter to the Faculty Executive Committee (FEC), in order to get their advice. We presented the idea to FEC at their January 2010 meeting. After considerable discussion, looking at all the pros and cons, FEC endorsed the idea enthusiastically and without dissent.

From that point on, our committee worked on crafting the Code amendment that is presented here as Resolution 2011-1. If passed, this resolution would do the following:

- \* A new electoral division would be created consisting of individuals who retired as voting faculty members at UNC, and who register to vote in this division with the Office of

Faculty Governance. The details of the registration procedure are left to the Secretary of the Faculty to determine.

- \* Two new seats would be created on Faculty Council, the incumbents of which would be elected by members of this new division. Any member of the division would be qualified to run for these seats. The candidates would be chosen by the Nominating Committee, as with all the other slates.

It is important to note that the proposed language gives retired faculty voting rights *only* for Faculty Council. It does not grant any new rights for retired faculty to serve on other faculty committees or to vote in departmental matters.

In sum, the Committee on University Government recommends that Faculty Council adopt Resolution 2011-1.

## **Appendix 2**

### **Report of the Committee on University Government on Resolution 2011-2.**

The proposed amendment is essentially a correction to the major overhaul of the Faculty Code that was adopted by Faculty Council in 2007. This new amendment brings the Code into line with what is now a common and reasonable practice across the UNC campus, in that it allows current fixed-term faculty to vote in personnel matters affecting other fixed-term faculty. In order to be eligible to vote, a fixed-term faculty member must still meet the criteria for "voting faculty" set forth in § 1-4 of the Code (not a visiting appointment, at least .75 FTE, and a contract or service of at least 3 years duration).

Prior to 2007, section 8-8 of the Faculty Code spelled out the details of departmental governance in the College of Arts and Sciences, but there were no comparable provisions for any of the other schools. This section's language reflected the traditional College practice that fixed-term faculty did not vote in any departmental personnel matters, period. One goal of the 2007 revision was to standardize the basic governance provisions and make them apply to all schools within the university. Some College-specific provisions that would not work university-wide were left unchanged or dropped, but this particular section was moved to Article 6, thereby making it universal and (inadvertently) putting the Code in conflict with a long-standing practice in many of the professional schools. Recently, even the College adopted a policy on senior lecturers that was in conflict with this provision.

Hence, the Committee on University Government strongly recommends that Faculty Council adopt Resolution 2011-2 in order to eliminate this problem.

**Resolution 2011-1. On Amending the Faculty Code of University Government to Provide Voting Representation for Retired Faculty.**

The General Faculty enacts that

§§ 1-4, 2-2, and 2-4 of the Faculty Code of University Government are amended as follows (deletions are crossed out, additions are underlined):

*§ 1-4. Voting.*

“(c) Retired faculty who do not fulfill the requirements of § 1-4(b) are eligible to vote in the elections for Faculty Council, as provided in §§ 2-2(i) and 2-4(d), but are otherwise not considered members of the voting faculty.”

*§ 2-2. Members.*

“(i) In addition to the electoral divisions established elsewhere in this article, there shall be a division for retired faculty which will elect two members of Faculty Council. These members shall not be considered in the apportionment described in § 2-2(g). Faculty are eligible for election to this division only if they satisfy the requirements for voting in that division, as stipulated in § 2-4(d).”

*§ 2-4. Nomination and election.*

“(c) Members representing the electoral divisions established in § 2-2(e) are chosen by members of the voting faculty whose primary appointments are in schools or departments assigned to that electoral division. Ballots containing the nominations are distributed to the appropriate division by the secretary of the faculty. Elections are determined by a plurality of the votes cast.

“(d) Retired faculty are eligible to vote pursuant to § 2-2(i) if they satisfy the following conditions:

- 1) they retired from the University on North Carolina at Chapel Hill as members of the voting faculty and do not currently fulfill the requirements of § 1-4(b); and
- 2) they are registered with the Office of Faculty Governance according to procedures set by the secretary of the faculty.

“(f) (e) Ballots containing the nominations are distributed to the appropriate division by the secretary of the faculty. Elections are determined by a plurality of the votes cast. The secretary of the faculty decides the votes by lot.”

In § 2-4, the current subsection (e) is re-lettered as (f).

**Resolution 2011-2. On Amending the Faculty Code of University Government to Allow Fixed-Term Faculty to Vote in Personnel Matters Affecting Other Such Faculty.**

The General Faculty enacts that

§§ 5-4 and 6-4 of the Faculty Code of University Government are amended as follows (deletions are crossed out, additions are underlined):

*§ 5-4. Consultation with respect to full-time fixed-term faculty appointments.*

“Chairs or deans making appointments or, reappointments, or promotions of persons to full-time fixed-term faculty positions consult with an appropriate faculty committee in the appointing unit.”

*§ 6-4. School and departmental faculty meetings.*

“The school or department holds regular faculty meetings at least once in each semester. The dean or chair may call special meetings and must do so upon request of one-half of the voting faculty of the school or department. The dean or chair presides at all meetings and ensures that an accurate record of the proceedings is kept. Members of the school or departmental faculty who are members of the voting faculty as defined in § 1-4 of the Faculty Code are eligible to vote in faculty meetings, except that voting privileges with respect to faculty appointments, reappointments, and promotions are limited to members holding tenured or probationary-term appointments fixed-term faculty are not eligible to vote on tenure-track appointments, reappointments, or promotions.”